



MEMORANDUM – 2025-019

TO : THE TRADING PARTICIPANTS, THE INVESTING PUBLIC,
AND OTHER MARKET PARTICIPANTS

SUBJECT : UPDATES ON EQUITIWORLD SECURITIES, INC.

DATE : 09 JUNE 2025

The Capital Markets Integrity Corporation (“CMIC”) presented to the Securities and Exchange Commission (“SEC”) its initial proposal for the allocation and liquidation of trade-related assets (“allocation plan”) of Equitiworld Securities, Inc. (“Equitiworld”), together with updates on particular items of the plan. CMIC also informed the SEC that it had identified several issues or shares of stock that are considered *intact* or not subject to any ongoing investigation, and recommended the release thereof to the concerned customers ahead of the approval of the allocation plan.

On 9 June 2025, CMIC received from the SEC its comments and directives in relation to the above initial proposal and updates. The SEC notified CMIC that **the release of *intact* shares to the involved customers ahead of the allocation plan approval has been approved**, among other matters.

Thus, CMIC is in the process of informing the clients who have *intact* shares of this development. These customers may finally transfer the identified *intact* shares to their preferred trading participants.¹

¹ Equitiworld clients who do not have an account with an active trading participant are advised to open an account with their preferred brokerage firm. The list of active trading participants is provided here: <https://www.cmic.com.ph/main/investorsCorner.html?method=showDirectory>

It must be underscored that the release of intact shares is *without* prejudice to: (a) approval of the allocation plan; (b) release of other assets, which may include other shares of stock, cash, and dividends, and entitlement to other trade-related assets of Equitiworld, based on the approved allocation plan; (c) submission of claims to the Securities Investor Protection Fund; and (d) resort to further legal remedies.

For other relevant information or inquiries, please contact CMIC through info@cmic.com.ph and ied@cmic.com.ph.

(Original signed)

GERARD B. SANVICTORES

President

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